

Smoothness of bounded invariant equivalence relations

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Motivations

- General goal: understanding “strong type spaces”.
- A theorem of Newelski (2002) about F_σ equivalence relations (cardinality).
- A theorem of Kaplan, Miller and Simon (2013) about Borel cardinality of Lascar strong type (Borel cardinality).
- A question of Gismatullin and Krupiński (2012) related to connected group components.

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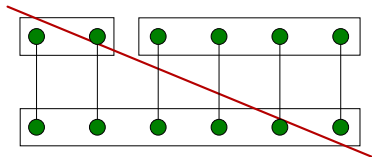
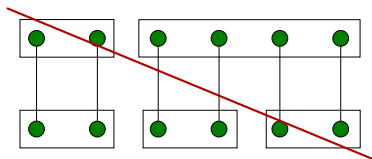
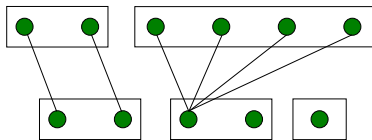
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Borel reductions

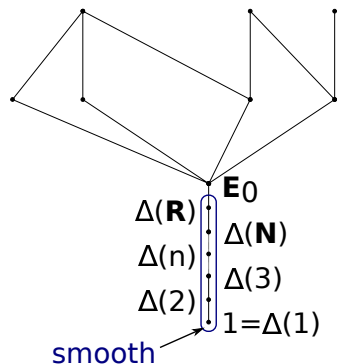


Definition

Suppose X, Y are Polish spaces and E, F are Borel equivalence relations on X, Y . Then $f: X \rightarrow Y$ is a **Borel reduction** of E to F if

$$f(x) F f(x') \iff x E x'$$

Borel cardinalities



Definition

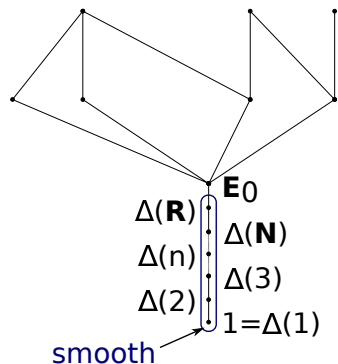
$E \leq_B F$ if there exists a Borel reduction of E to F .

$E \sim_B F$ if $E \leq_B F$ and $F \leq_B E$; E is smooth if $E \sim_B \Delta(X)$.

Fact

- *There is a smallest non-smooth equivalence relation, E_0 .*
- *\leq_B is linear up to E_0 .*

Borel cardinalities



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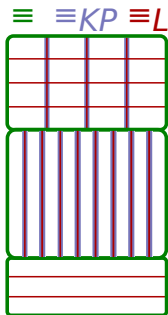
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Strong types



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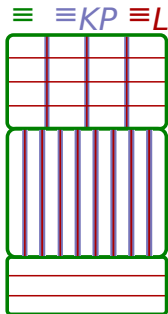
- \equiv_{KP} is the finest bounded (i.e. with small number of classes), \emptyset -type-definable equivalence relation.
- \equiv_L is the finest bounded, invariant equivalence relation.

Fact

\equiv_L is $(\emptyset-)F_\sigma$, i.e. $x \equiv_L y \iff \bigvee_n \Phi_n(x, y)$.

In the sequel, E is a bounded, F_σ equivalence relation on a \emptyset -type-definable set $X \subseteq \mathcal{C}$

Strong types



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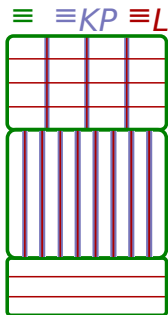
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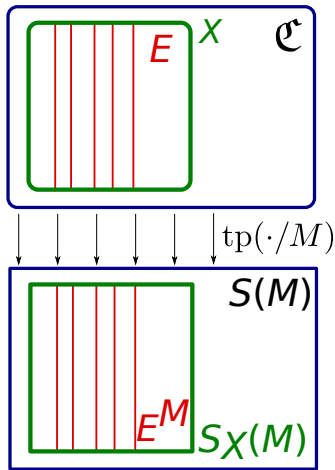
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Borel cardinalities of invariant equivalence relations



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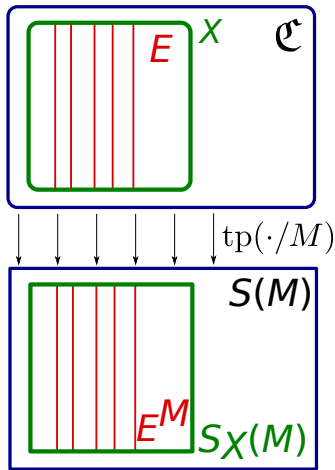
Borel cardinality of E is the Borel cardinality of E^M for a ctble model M .

$$p E^M q \iff \exists a \models p \exists b \models q (a E b)$$

Remark

Type-definable ERs (e.g. \equiv_{KP}) are smooth.

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Orbital equivalence relations

Definition

As before, E is an F_σ , bounded equivalence relation on $X \subseteq \mathfrak{C}$.

E is **orbital** if there is some $\Gamma \leq \text{Aut}(\mathfrak{C})$ such that E -classes are orbits of Γ .

E is **orbital on types** if it refines \equiv and restrictions of E to types are orbital.

Example

\equiv_{KP} and \equiv_L are orbital.

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Orbital on types vs refining \equiv

Question

If E refines \equiv , is E already orbital on types?

Answer

No! (We have found counterexamples.)

Normal form

Definition

If E is F_σ on X , then $\bigvee_n \Phi_n(x, y)$ is a normal form for E if

- it is increasing (i.e. $\Phi_n \vdash \Phi_{n+1}$),
- $E(x, y) \iff \bigvee_n \Phi_n(x, y)$,
- $d(x, y) = \min\{n \mid \mathcal{C} \models \Phi_n(x, y)\}$ is a metric on X .

Example

\equiv_L has normal form $\Phi_n(x, y) = "d_L(x, y) \leq n"$ (Lascar distance).

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Normal forms exist

Proposition

If E is bounded F_σ , then E has a normal form $\bigvee_n \Phi_n$ such that " $d_L(x, y) \leq n$ " $\vdash \Phi_n(x, y)$.

Proposition

C : an E -class; *assume that E refines \equiv* . TFAE:

- C has infinite diameter w.r.t. *some* normal form;
- C has infinite diameter w.r.t. *every* normal form.

Proof.

Easy from a theorem of Newelski. □

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Technical theorem

Fact (Newelski 2002, simplified)

$E: F_\sigma$, *refines* \equiv .

Then if $[a]_E$ has infinite diameter, then $E \upharpoonright_{[a]_\equiv}$ has at least c classes.

Fact (Kaplan, Miller & Simon 2013)

The class $[a]_{\equiv_L}$ has infinite diameter (w.r.t. Lascar distance) iff $\equiv_L \upharpoonright_{[a]_\equiv}$ is non-smooth.

Theorem (countable case; independently Kaplan & Miller 2013)

E : is bdd, F_σ and *orbital on types*.

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Technical theorem cont.

Corollary (countable case for groups)

*G : definable and $N \trianglelefteq G$: F_σ of bounded index.
Then N is \emptyset -type-definable $\iff E_N$ is smooth.*

Remark

Similar result in uncountable case, more complicated techniques.

Technical theorem cont.

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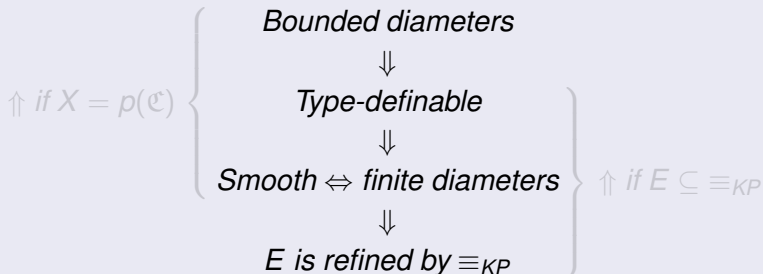
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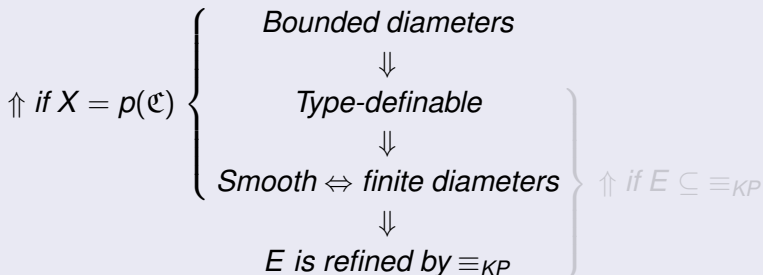
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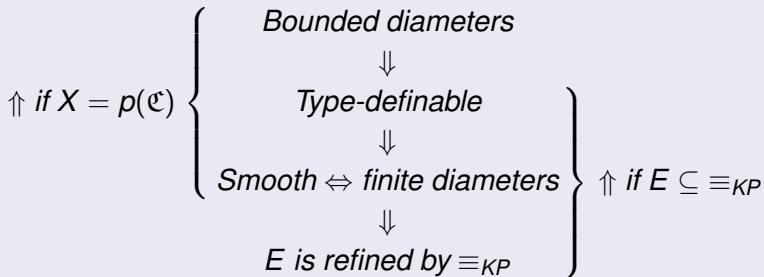
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Additional comments

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Series of counterexamples. □

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Answer (partial)

Not too much: E must at least refine \equiv .

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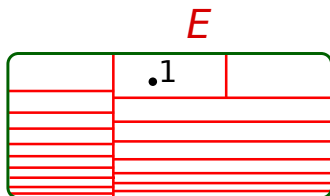
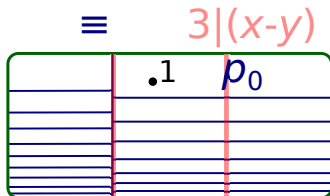
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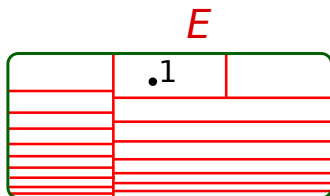
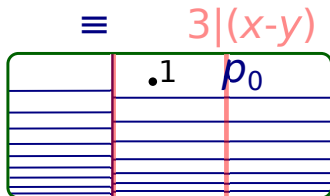
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- $T = \text{Th}(\mathbf{Z}, +, n|\cdot)_{n \in \mathbf{N}}$;
- $\rho_0(x) = \text{tp}(1/\emptyset) = \bigwedge_n n \nmid x$
- $x E y \iff x \equiv y$ and if $x \models \rho_0$, then $3|(x - y)$.

E :

- is smooth, but not type-definable,
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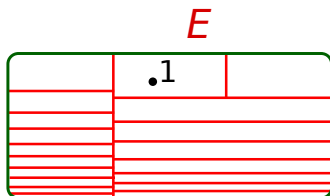
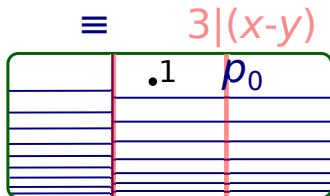
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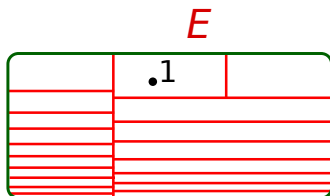
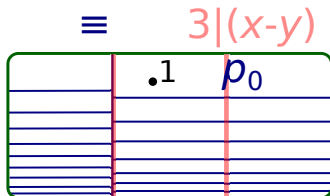
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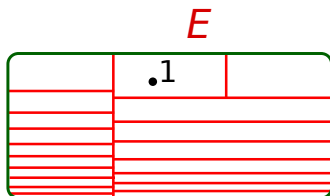
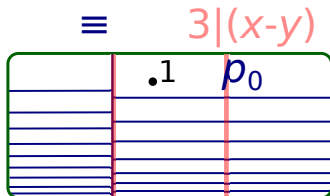
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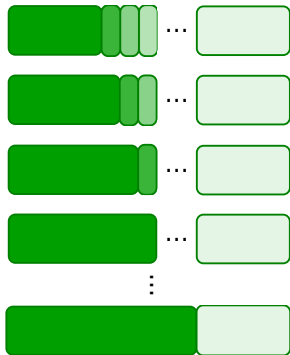
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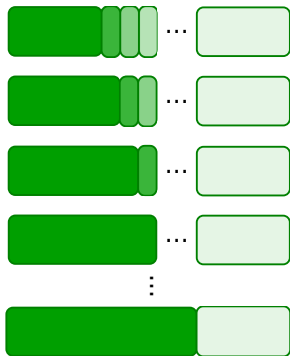
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- $\Phi_n(x, y) = \bigwedge_{m \geq n} (x < m \leftrightarrow y < m);$
- $E = \bigvee_n \Phi_n(x, y)$

E has only 2 classes (so it is **smooth**),
although one class has **infinite diameter**
(and E is not type-definable).

E does not refine \equiv (theorem does not apply).

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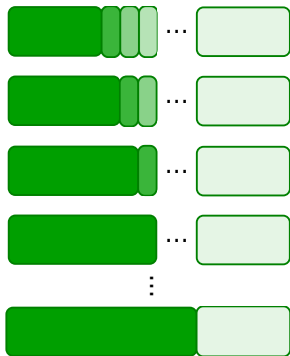
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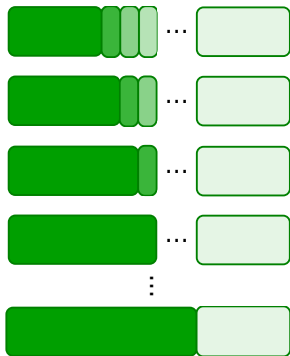
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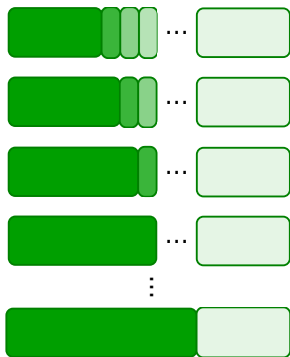
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Connected components

Definition

G : (\emptyset -) definable group in \mathcal{C} .

G^{00} : the smallest type-definable subgroup of bounded index;

G^{000} : the smallest invariant subgroup of bounded index.

Question

Are there definable groups such that $G^{00} \neq G^{000}$?

Answer (Pillay & Conversano 2012)

Yes! $(\widetilde{SL_2(\mathbf{R})})^*$

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Theorem (Gismatullin, Krupiński 2012)

$$0 \rightarrow A \rightarrow \tilde{G} \xrightarrow{\pi} G \rightarrow 0$$

A, G : definable groups (A abelian),

$\tilde{G} = A \times G$: definable group in terms of 2-cocycle $h: G^2 \rightarrow A$.

Under some technical assumptions and *assumption †*
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Main theorem for definable group extensions

Theorem

Suppose we have:

- $\tilde{H} \trianglelefteq \tilde{G}$: F_σ normal subgroup;
- $\tilde{H} \cap A$ and $\pi[\tilde{H}]$: type-definable;
- (technical assumptions).

Then \tilde{H} is type-definable.

Proof.

Using the technical theorem and a certain topology (weaker than Vietoris) on subsets of $A/(A \cap \tilde{H})$. □

Main theorem for definable group extensions

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Corollary

Question (Gismatullin & Krupiński 2012)

In the meta-example, if $G^{00} = G^{000}$, does $\tilde{G}^{000} \neq \tilde{G}^{00}$ imply *assumption †*?

Corollary (with some natural assumptions)

Yes!

Moreover, if $G^{00} = G^{000}$, then

$$\tilde{G}^{00} / \tilde{G}^{000} \cong K/D$$

where K is a compact group and D is finitely generated dense.

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where K is a compact group and D is finitely generated dense.

Infinite diameter is independent of n.f. (usually)

Example

- $T = \text{Th}(\mathbf{R}, +, \cdot, 0, 1)$,
- E – total relation,
- $\Phi_n(x, y) = \bigvee_n \bigwedge_{m \geq n} (x = m \leftrightarrow y = m)$ is a normal form for E ,
- E has only one class, which has infinite diameter w.r.t. $\bigvee_n \Phi_n$;
- the only class clearly has diameter 1 with respect to trivial normal form $\Phi'_n(x, y) = \top$
- E does not refine \equiv .

Example

$$\begin{aligned} G &= \langle (1, 2)(3, 5)(4, 6), (1, 3, 6)(2, 4, 5) \rangle \\ &= \{(), (1, 2)(3, 5)(4, 6), (1, 3, 6)(2, 4, 5), \\ &\quad (1, 4)(2, 3)(5, 6), (1, 5)(2, 6)(3, 4), (1, 6, 3)(2, 5, 4)\} \end{aligned}$$

- $1 \sim 2, 3 \sim 4, 5 \sim 6$ (and no other nontrivial relations)
- M : (finite) structure such that G is the automorphism group and \sim is definable.